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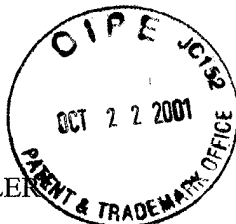
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Roy Bates et al.

Serial No.: 09/931,921

Filed: August 20, 2001

For: ELECTRIC MOTOR MUFFLER



Group Art Unit: 2834

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 and 1.98

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

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CITED MATERIALS

Applicant(s) hereby disclose the information listed in the attached form PTO-1449.

- ☐ Copies of materials listed but not attached were cited in parent application Serial No. ____; see 37 C.F.R. § 1.98(d).
- ☐ Copies of materials listed but not attached were cited in an International Search Report dated ____; receipt of any International Search Report and copies of references were confirmed by the PCT International Division of the U.S. PTO in the Notice of Acceptance mailed ____.

ADDITIONAL INFORMATION

- ☐ All listed materials are in the English language; see 37 C.F.R. § 1.98.
- ☐ Other:
 - ☐ The reference(s) in the English-language is (are) indicated by commercial search services to correspond to the reference(s), respectively.
 - ☐ No translation or English-language equivalent of the reference(s) is known; an English-language abstract therefore is provided.
 - ☐ An English-language version of a search report or equivalent paper from a foreign patent office in connection with a counterpart foreign application is provided indicating the relevance of the reference(s) found there.

FEES

- ☒ No fee is required for this Information Disclosure Statement because:
 - ☒ This Information Disclosure Statement is being filed within three months of the filing date of a national application (other than a CPA) under § 1.53(d) or within 3 months of the date of entry of the national stage under § 1.491 in an international application;
 - ☒ This Information Disclosure Statement is being filed before the mailing of a first action on the merits;
 - ☐ This Information Disclosure Statement is being filed after a first action on the merits but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that closes prosecution in the application, and is accompanied by a certification below.
 - ☐ This Information Disclosure Statement is filed under 37 C.F.R. § 1.97(i).
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 - ☐ This Information Disclosure Statement is being filed after the mailing date of a final rejection or a notice of allowance under § 1.311 or an action that closes prosecution, but before the payment of the issue fee, and is accompanied by the fee set forth in § 1.17(p) and a certification below.

CERTIFICATION

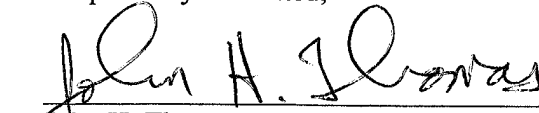
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c), more than three months prior to the filing of this statement.



DEPOSIT ACCOUNT

If appropriate, attached is a check in the amount of \$ _____. However, the Commissioner is hereby authorized to charge fees under 37 CFR § 1.16 and § 1.17 which may be required to facilitate this filing, or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,


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Attorney Docket No.: HAMBE 10

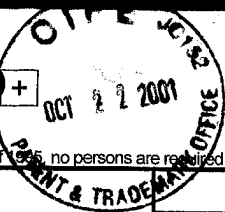
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Sheet 1 of 2

Application Number	09/931,921
Filing Date	August 20, 2001
First Named Inventor	Bates et al.
Group Art Unit	2834
Examiner Name	Unassigned
Attorney Docket Number	HAMBE 10

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		6,069,423		Miller et al.		
		5,273,358		Byrne et al.		
		5,244,275		Bauer et al.		
		3,575,524		Adajian		
		3,548,280		Cockroft		
		3,493,214		Edwards et al.		
		2,822,485		Braun et al.		
		2,157,609		Hopkins		
		4,071,789		Ernster et al.		
		5,866,959		Le Flem		
		5,614,774		McCallops et al.		
		4,783,173		Artin		
		5,124,600		Hedeen		
		3,866,263		Crouser et al.		
		4,132,912		Wright		
		4,150,313		Panza		
		5,084,641		Saima et al.		
		4,305,670		Moskowitz et al.		
		5,417,152		Harrison		
		5,883,451		Majchrzak et al.		

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner
Signature

Date
Considered

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Unique citation designation number. ³ See attached Kinds of U.S. Patent Documents. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

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